



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application:

Toru YAMAGUCHI et al.

Application Number: 09/779,478

Filed: February 9, 2001

FOR: HEAT EXCHANGERS AND FINS
FOR HEAT EXCHANGERS AND
METHODS FOR
MANUFACTURING THE SAME

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) Examiner L. LEO

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) Group Art Unit 3743

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith copies of the references listed on the attached Form PTO-1449 (modified) for consideration and to be made of record by the U.S. Patent and Trademark Office (PTO) in the above-captioned patent application.

Applicants respectfully request that the Examiner consider these references and that the Examiner indicate that the enclosed references have been considered in this application by returning a copy of the form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

In accordance with 37 C.F.R. § 1.97(b), Applicants believe that this Information Disclosure Statement is submitted prior to issuance of a first Office Action on the merits. Therefore, no fees are believed due as a result of this submission. However, in the event of any

variance between the fees determined by Applicant and those determined by the PTO, please charge any such variance to undersigned's Deposit Account No. 02-0375.

Respectfully submitted,

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JBA/TJC/dh
Enclosure